



Our Case No.: 11934/3 *#12 OBA 8/26/03*

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Toshihiko OBA

Serial No.: 09/673,360

Filing Date: October 16, 2000

For: SPEECH TRANSFORMATION  
METHOD AND APPARATUS

RECEIVED

Examiner: NOLAN, Daniel A.

JUN 3 0 2003

Group Art Unit No.: 2655

Technology Center 2600

## SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Alexandra, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Second Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

Prior art documents C1-C8 were cited in an Office Action issued to a counterpart Japanese application. The documents are all in Japanese. For each of documents C1-C7, Applicant is submitting an English translation. For document C8, Applicant is submitting an English abstract.

In accordance with 37 C.F.R. § 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been

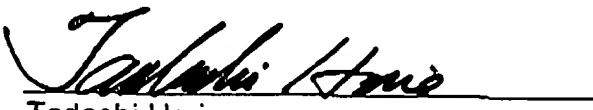
made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Applicant is also submitting a copy of the Office Action issued by the Japanese Patent Office. As noted on the first page of the Office Action, it was mailed to Applicant on June 19, 2003. I therefore certify that each item of information contained in the statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The Assistant Commissioner is hereby authorized to charge any fees which may be required for submitting this statement to Deposit Account No. 23-1925.

Applicant respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

  
\_\_\_\_\_  
Tadashi Horie  
Registration No. 40,437  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
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FORM PTO-1449	SERIAL NO.	CASE NO.
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	09/673,360 FILING DATE October 16, 2000	11934/3 GROUP ART UNIT 2655
APPLICANT: <u>Toshihiko OBA</u>		



#12

TRANSMITTAL LETTER			Case No. 11934-3
Serial No. 09/673,360	Filing Date October 16, 2000	Examiner Daniel A. Nolan	Group Art Unit 2655
Inventor(s) Toshihiko OBA			RECEIVED
Title of Invention SPEECH TRANSFORMATION METHOD AND APPARATUS			JUN 30 2003

U.S. PATENT & TRADEMARK OFFICE  
JUN 27 2003  
JC39

TO THE COMMISSIONER FOR PATENTS

Technology Center 2600

Transmitted herewith is Transmittal letter (in dup.), Supplemental Information Disclosure Statement (in dup.), Form PTO-1449, 8 cited references and return postcard.

Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.

Applicant claims small entity status. See 37 CFR 1.27.

Petition for a \_\_\_\_\_ month extension of time.

No additional fee is required.

The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity		or	Other Than Small Entity		
Rate	Add'l Fee		Rate	Add'l Fee	
x \$9=			x \$18=		
x 42=			x \$84=		
+\$140=			+\$280=		
	Total add'l fee	\$		Total add'l fee	\$

Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

A check in the amount of \$ \_\_\_\_ to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Tadashi Horie  
Registration No. 40,437  
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